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U.S. PTO  
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PTO/SB/05 (06-03)

Approved for use through 07/31/2003 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number:

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	2001-0526DIV.3
	First Inventor	A. Garg
	Title	Intelligent Voicemail
	Express Mail Label No.	ER 403214377 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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<input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> Specification [Total Pages <u>17</u> ] (preferred arrangement set forth below) • Descriptive title of the invention • Cross Reference to Related Applications • Statement Regarding Fed sponsored R & D • Reference to sequence listing, a table, or a computer program listing appendix • Background of the Invention • Brief Summary of the Invention • Brief Description of the Drawings (if filed) • Detailed Description • Claim(s) • Abstract of the Disclosure  <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>6</u> ]  <input type="checkbox"/> Oath or Declaration [Total Sheets <u>4</u> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	<input type="checkbox"/> 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) <input type="checkbox"/> 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Reader Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies  <b>ACCOMPANYING APPLICATION PARTS</b> <input checked="" type="checkbox"/> 9. Assignment Papers (cover sheet & document(s)) <input type="checkbox"/> 10. 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee) <input type="checkbox"/> 11. English Translation Document (if applicable) <input checked="" type="checkbox"/> 12. Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input checked="" type="checkbox"/> 13. Preliminary Amendment <input type="checkbox"/> 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) <input type="checkbox"/> 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) <input checked="" type="checkbox"/> 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. <input checked="" type="checkbox"/> 17. Other: <u>Rev. of Assoc. Atty /</u> <u>Appt. of New Atty</u>
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If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/207,413

For application information Examiner L. Hashem Art Unit: 2645

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>				
<input type="checkbox"/> Customer Number: [ ]		OR <input checked="" type="checkbox"/> Correspondence address below		
Name	<u>S. H. Dworetzky</u>			
Address	<u>AT &amp; T Corp.</u>			
	<u>P.O. Box 4110</u>			
City	<u>Middletown</u>	State	<u>NJ</u>	Zip Code
Country	<u>USA</u>	Telephone	<u>908-532-1855</u>	Fax
Name (Print/Type)	<u>Wendy W. Koba</u>	Registration No. (Attorney/Agent)	<u>30509</u>	
Signature	<u>Wendy W. Koba</u>	Date	<u>12/22/03</u>	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16834 U.S. PTO  
10/743990

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22764 U.S. PTO  
122203

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete If Known

Application Number \_\_\_\_\_  
Filing Date 12/22/03  
First Named Inventor A. Garg  
Examiner Name \_\_\_\_\_  
Art Unit \_\_\_\_\_  
Attorney Docket No. 2004-0526 DIV 3

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	<u>770</u>	2001	385	Utility filing fee	<u>770</u>
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ <u>770</u> )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 6 -20\*\* = 0 X 18 = 0  
Independent Claims 2 -3\*\* = 0 X 86 = 0  
Multiple Dependent \_\_\_\_\_ = \_\_\_\_\_

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ <u>0</u> )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Wendy W. Koba Registration No. 30509 Telephone 610-346-7112  
Signature Wendy W. Koba Date 12/22/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXPRESS MAIL  
CERTIFICATE OF MAILING

Patent Application for:

Applicants: Amit Garg et al.

Atty. No: 2001-0526DIV3

Title: Intelligent Voicemail Message  
Waiting System and Method

I hereby certify that this correspondence is being deposited with the United States  
Postal Service with sufficient postage as EXPRESS MAIL in an envelope addressed  
to:

Mail Stop Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

On 12/22/03

EXPRESS MAIL TRACKING NO. ER 403214377US

Type or Print name of person signing this certificate: Wendy W. Koba, Esq.

Signature: Wendy W. Koba

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Garg

Title

Intelligent Voicemail Message  
Waiting System and Method

Attorney Docket Number

2001-0526 DIV 3

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/22/03

Date

Wendy W. Koba

Signature

610-346-7112

Telephone number

Wendy W. Koba

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Prior Application: Garg 2001-0526  
Serial No.: 10/207,413  
Filing Date: 07/29/2002  
Group Art Unit: 2645  
Examiner: L. Hashem  
Status: Pending

Case Garg 2001-0526DIV3

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

CONTINUATION/DIVISIONAL APPLICATION UNDER 37 CFR § 1.53(b)

SIR:

This is a request for filing a ~~continuation~~ <sup>divisional</sup> application under 37 CFR § 1.53(b) of prior Application Serial No. 10/207,413, filed on July 29, 2002 entitled Intelligent Voice mail Message Waiting System and Method

Enclosed are the following papers relating to the above-identified application:

1. <sup>a</sup> Specification (Total Pages: 17)
2. <sup>a</sup> Drawings (Total Sheets: 6)
3. <sup>a</sup> Copy of "Declaration and Power of Attorney" from prior application (Total Pages: 4)
- ☒ <sup>a</sup> Newly executed "Declaration and Power of Attorney" (if **adding** inventors) (Total Pages:     )
- ☒ <sup>a</sup> Newly executed "Assignment and Agreement" (if **adding** inventors) (Total Pages:     )
6. <sup>a</sup> Incorporation By Reference

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ <sup>a</sup> DELETION OF INVENTOR(S)

Signed statement attached (under 37 CFR § 1.63(d)(2)) deleting inventor(s) named in the prior application

8. <sup>a</sup> Information Disclosure Statement

☒ <sup>a</sup> Copy of "Extension of Time"

10. <sup>a</sup> Other:

Copy of Assignment and Agreement from Parent (6 pages)  
Revocation of Associate Power and Appointment of New Associate Attorney

11. <sup>a</sup> Cancel the following original claims in the prior application before calculating the filing fee: 1-24, 31-34

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELLED AT No. 11 ABOVE				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	6 - 20 =	0	x \$18 =	0
Independent Claims	2 - 3 =	0	x \$86 =	0
Multiple Dependent Claims(s), if applicable			+ \$290 =	
Basic Fee				\$770
TOTAL FEE				0

12. <sup>a</sup> Amend the specification by inserting before the first line this sentence:

This is a <sup>a</sup> continuation <sup>a</sup> divisional of Application Serial No. 10/207,413, filed on July 29, 2002.

13. <sup>a</sup> Preliminary Amendment

14. Amendment Fee:

<sup>a</sup> No additional fee is required.

<sup>a</sup> An additional amendment fee is calculated as follows:

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid For (from above)	Present Extra	Rate	Additional Fee
Total Claims for Fee Purposes		minus			x \$18	
Independent Claims		minus			x 86	
Multiple Dependent Claim(s) first presented with this amendment, if applicable.					+ \$290	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:						0

The Assistant Commissioner for Patents is hereby authorized to treat any concurrent or future reply, requiring a petition for extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time if not submitted with the reply.

Please address all correspondence to **Mr. S.H. Dworesky, AT&T Corp., PO Box 4110, Middletown, New Jersey 07748**. Please direct all telephone calls in this application to **Wendy W. Koba at 610-346-7112**.

Respectfully,

A handwritten signature in black ink that reads "Wendy W. Koba". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Wendy W. Koba, Esq.  
Attorney for Applicant(s), Reg. No.30509

Date: 12/22/03